

1 **An In-situ 3D Micro-XRD Investigation of Water Uptake by Alkali-Silica-Reaction**
2 **(ASR) Product**

3
4 Guoqing Geng ^{a,b,*}, Solène Barbotin ^c, Mahdieh Shakoorioskooie ^{d,e,f}, Zhenguo Shi ^d, Andreas
5 Leemann ^d, Dario Ferreira Sanchez ^g, Daniel Grolimund ^g, Erich Wieland ^b, Rainer Dähn ^b.

6
7 ^a Department of Civil and Environmental Engineering, National University of Singapore,
8 117576 Singapore.

9 ^b Laboratory for Waste Management, Paul Scherrer Institute, 5232 Villigen PSI, Switzerland.

10 ^c Laboratory of Construction Materials, Swiss Federal Institute of Technology (EPFL),
11 Ecublens, 1015 Lausanne, Switzerland.

12 ^d Concrete and Asphalt Laboratory, Empa, Swiss Federal Laboratories for Materials Science
13 and Technology, CH-8600 Dübendorf, Switzerland

14 ^e Institute for Building Materials (IfB), ETH Zürich, Stefano-Frascini-Platz 3, CH-8093
15 Zürich, Switzerland

16 ^f Center for X-ray Analytics, Empa, Swiss Federal Laboratories for Materials Science and
17 Technology, CH-8600 Dübendorf, Switzerland

18 ^g Swiss Light Source, Paul Scherrer Institute, 5232 Villigen PSI, Switzerland

19 * Correspondence e-mail: ceegg@nus.edu.sg

20
21 **Abstract**

22 The ASR products in concrete have various chemical compositions. It is yet unclear whether
23 and how these products develop micro-expansion upon moisture ingress. This paper presents a
24 3D in-situ observation of the crystallography and volume change of an ASR-product-filled vein,
25 under varying relative-humidity (R.H.). The vein was observed to contain two nano-crystalline

26 phases with distinct basal spacings, and distribute heterogeneously in space. When R.H.
27 changed from 10% to >38%, the basal spacing increased from 7.43Å to 8.89Å for one phase,
28 whereas remained constant (~10.9Å) for the other. This is the first time that an ASR product is
29 observed in-situ to exhibit crystal structural expansion during wetting process. However, the
30 product-filled vein exhibited no noticeable swelling when R.H. varied from 10% to 97%. Our
31 findings provide the first direct evidence that the moisturization-induced crystal structural
32 change of ASR product may not be a plausible explanation to the macroscale concrete
33 expansion.

34

35 **Keywords:** Alkali silica reaction; Micro x-ray diffraction; Tomography; Moisture swelling;
36 In-situ observation.

37

38 **1. Introduction**

39 Alkali-Silica-Reaction (ASR) is a commonly known mechanism of concrete degradation, but
40 its microscale origin is not widely known. This ASR products cause expansion in concrete in
41 the presence of moisture, leading to crack formation and concrete degradation [1,2]. ASR-
42 induced deterioration has been reported worldwide, and a global effort of investigating ASR
43 exists since the mid-20th century [3,4]. Today, multiple standard testing methods have been
44 established to evaluate the ASR reactivity of aggregates [5,6]. Limiting the alkali content of
45 cement is also incorporated in construction codes to minimize the long-term ASR risk [7].
46 These measures are vastly helpful in construction practice, yet different testing methods may
47 sometimes provide controversial results, and fail to predict the premature ASR degradation
48 [8,9]. Meanwhile, computational simulations of ASR-induced material degradation are
49 frequently reported over the past 20 years [10-12]. Many of them are based on conceptual

50 mechanisms that lack microscale validation. There is thus an urgent need to deepen our
51 understanding to the microscale mechanism of the ASR process.

52 One of the most widely accepted hypotheses of ASR damage is the moisture-driven swelling
53 [13-15] of the products which bear a general composition of sodium/potassium calcium silicate
54 hydrates. Compared with the calcium silicate hydrate (C-S-H, the main hydration product in
55 Portland cement concrete), the ASR gel has a much higher content of Na+K and a much lower
56 content of Ca [15-18]. This compositional difference results in a higher degree of silicate
57 polymerization in the ASR product (Q³ dominant) than in C-S-H (Q¹/Q² dominant) [16,19]. It
58 is proposed that ASR product expands upon up-taking water to its gel structure, leading to a
59 local stress development and initiation of cracks in concrete [13-15]. This hypothesis has been
60 challenged recently at least by two research evidences. First, large amount of SEM data have
61 indicated that the ASR product inside the reacted aggregates often exhibit a nano-crystalline
62 nature. The product vein is a ‘river’ of nano-platelets that are tens of nanometers thick and
63 micron-size wide [20-22]. These products are distinct from a gel-appearance, and their time-
64 dependent mechanical property is yet to be justified [22,23].

65 Second, recent micro-XRD and micro-spectroscopic evidences have unveiled the high
66 similarity between the nano-crystalline ASR product and shlykovite – a layer-silicate mineral
67 [16,17,24-27]. They were both reproduced in recent laboratory synthesis attempts. Although
68 multiple basal spacing values were found for ASR products from the field and lab-synthesis
69 [31], it was noted that they all seem not able to swell or shrink upon a significant R.H. change
70 [19, 26, 28]. Temperature is found to affect the formation of different types of crystalline ASR
71 products with varying basal spacing, i.e., 12.0 Å (<40 °C), 10.8 Å (around 40 °C), and 13.1 Å
72 (60–80 °C for K-shlykovite) [26,29,30]. Moreover, a significant hydrostatic compression
73 pressure (>2 GPa) can also alter the basal spacing [31]. These evidences make it questionable

74 whether moisture-induced swelling is the microscale source of expansive stress during the ASR
75 damage.

76 To answer this question, a microscale in-situ observation of a vein filled with the ASR product
77 and subject to R.H. change would provide unparalleled evidence. This paper reports exactly an
78 approach of such kind. A vein filled with crystalline ASR product was carefully isolated from
79 a degraded concrete and then investigated by synchrotron-based micro-XRD. The sample was
80 exposed to an inert atmosphere (N₂) with a largely varying R.H. (10%, 38% and 97%). The
81 combined use of micro-XRD and tomographic scanning allows reconstructing the XRD pattern
82 of each voxel in the probed volume, which then enables monitoring the crystal structure of the
83 product during the R.H. variation, along with its volumetric change (if any). The results provide
84 a direct evidence of whether the crystalline ASR product undergoes a structural swelling upon
85 contacting moisture, and whether this leads to a volumetric change inside the product vein.

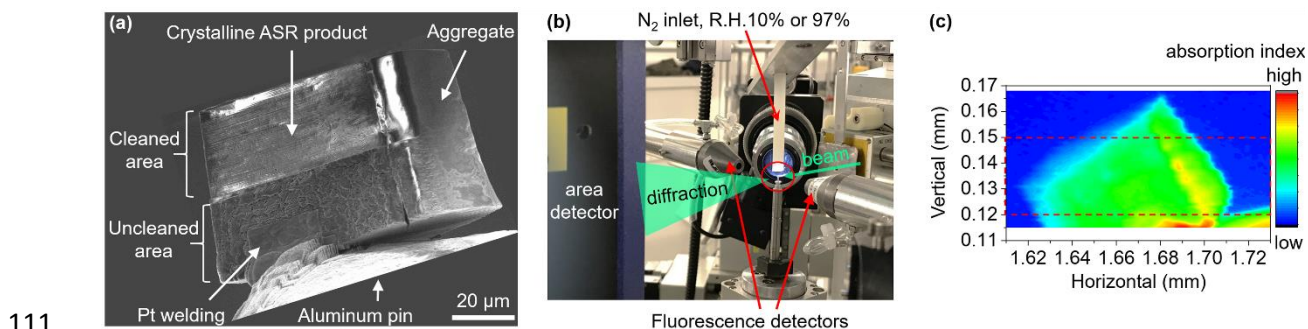
86

87 **2. Methodology**

88 **2.1 Materials**

89 The ASR product was extracted from a laboratory-produced concrete cube exposed for 14 years
90 to natural conditions in Valencia (Spain), with noticeable ASR degradation. The reactive
91 aggregate is a silicified limestone [32]. This concrete was referred to as ‘ES1’ in our previous
92 studies [24,31]. An iron hammer was used to gently crush the concrete. Via inspection under a
93 stereoscopic optical microscope, a fragment with abundant ASR product veins on the fractural
94 surface was selected. No resin impregnation was applied to the sample. A scanning electron
95 microscope (Quanta 200 ESEM, FEI) at a pressure of $1.0\text{-}2.0 \times 10^{-5}$ mbar, an accelerating
96 voltage of 15kV and a beam current of 95-100 μA was used to locate the region of interest. An
97 SSD detector (30 mm²) from Bruker and Esprit energy software with PhiRhoZ quantification
98 were operated for the Energy-dispersive X-ray spectroscopy (EDS) analysis.

99 The region of interest was extracted with a plasma focused ion beam (Helios G4 PFIB
 100 DualBeam UXe, ThermoFischer Scientific). A $\sim 50 \mu\text{m}$ wide product vein was spotted on the
 101 fractural surface. An SEM-EDS line-scan perpendicular to the vein (containing 24 points from
 102 the edge to the middle of the vein) indicated an average $(\text{Na}+\text{K})/\text{Si}=0.40$ and $\text{Ca}/\text{Si}=0.32$. This
 103 product vein was then cut with the Xenon plasma ion beam at working currents ranging from
 104 $2\mu\text{A}$ down to $0.2\mu\text{A}$. The extracted volume was a cuboid with edge lengths of $40\text{-}80 \mu\text{m}$,
 105 containing part of an ASR product vein embedded in an aggregate (limestone) matrix (Figure
 106 1a). It was then fixated to the tip of an aluminum pin using Pt as the welding material. A second
 107 step to clean the sample was operated in a focused ion beam (FIB, NVision 40 CrossBeam,
 108 Zeiss), at much lower currents ranging from 65nA to 27nA , with Gallium ion beam. The sample
 109 was then placed in a desiccator containing saturated NaOH solution as CO_2 and moisture trap,
 110 until it was measured two days later.



111
 112 Figure 1. Overview of the sample and the beamline setup. (a) SEM image of the extracted
 113 volume. The surface was partly cleaned with FIB to expose the morphogen of the ASR product.
 114 (b) Sample placed on the sample stage between the beam upstream, XRD and fluorescence
 115 detectors, with a N_2 flow approaching from top; (c) An absorption contrast image of the sample
 116 during the scanning, viewed from an angle slightly different from (a).

117

118 2.2 micro-XRD tomography

119 The micro-XRD measurement was performed at the microXAS beamline of the Swiss Light
 120 Source (SLS) [33]. An incident beam of 14.6 keV energy was focused to $\sim 1 \mu\text{m} \times 1 \mu\text{m}$ using
 121 Kirkpatrick-Baez mirrors. As shown in Figure 1b, an Eiger4M area detector was used to record

122 the diffraction pattern of the scanned region on the sample. The sample-to-plate distance and
123 the position of the beam center were calibrated using the diffraction pattern of a standard
124 material (LaB₆). A pair of fluorescence detectors were placed in equal distance on both sides
125 of the sample with respect of the beam direction. The elemental concentration in the scanned
126 region were semi-quantified by averaging the signals from both fluorescence detectors, which
127 is used to differentiate the ASR product from other phases. Reconstructions were conducted
128 using the selected fluorescence signal with home-made python codes and the Astra Toolbox
129 library, using SIRT method and parallel beam GPU code [34,35].

130 Using x-ray absorption contrast imaging, the sample was readily located during the scanning
131 (Figure 1c). The raw XRD pattern contained the information of all materials along the beam
132 path. Hence, a tomographic scanning manner was adopted to decouple the diffraction
133 contributed by different parts of the sample. At each height (vertical position), horizontal
134 scanning at a step size of 1 μm was combined with a rotational scanning at a step size of ~1.6°,
135 covering an angle range of ~180°. The scanning of each slice took ~65 min. Such tomographic
136 scans were conducted on multiple slices along the vertical direction. The overall investigated
137 region is indicated by the red-dashed rectangle in Figure 1c.

138 To study the effect of R.H., the sample was blown from top using either pure (dry) N₂ or N₂
139 that passed through a water reservoir. The R.H. was measured as 10% (dry N₂) and 97% (water-
140 saturated N₂), hereafter denoted as ‘*dry*’ and ‘*wet*’ conditions, respectively. For each R.H.
141 condition, 21 consecutive slices were scanned. The step size between adjacent slices is 1.5 μm
142 for the dry condition, and 1.2 μm for the wet condition (vertical position of each scanned slices
143 available later in Figure 5). The N₂ blow was lastly removed to study the sample at ambient
144 R.H., which was measured to be 38% in the experiment hutch, hereafter denoted as ‘*amb*’
145 condition. Due to the limitation of experiment time, only four slices were studied at the ambient

146 condition. The measurement starts from *dry*, followed by *wet* and *amb* conditions. The sample
147 was allowed one-hour equilibration each time after a R.H. change.
148 For the tomographic scan of each slice, one XRD pattern was collected for each horizontal
149 position at each rotation angle. A set of home-made codes were used to translate these
150 diffraction patterns to a diffractogram of intensity vs 2theta [36, 37]. Matlab software was then
151 used to reconstruct the slice using the diffraction intensity at each 2theta angle as the contrast.
152 For each slice, this reconstruction resulted in a three dimensional data, with the first and second
153 dimensions corresponding to the x- and y- coordinates of the pixels on the slice, the third
154 dimension corresponding to the 2theta angle. This eventually yielded the XRD pattern of each
155 point in the studied volume under various R.H. conditions. Spatial distribution of a certain
156 phase on each slice was obtained through reconstruction using the integrated intensity of its
157 characteristic XRD peaks (e.g. the basal peak) using a python code of simultaneous iterations
158 reconstruction technique (SIRT) algorithm [34,35]. Open source packages *ImageJ* [38] and
159 *Paraview* [39] were used for visualization.

160

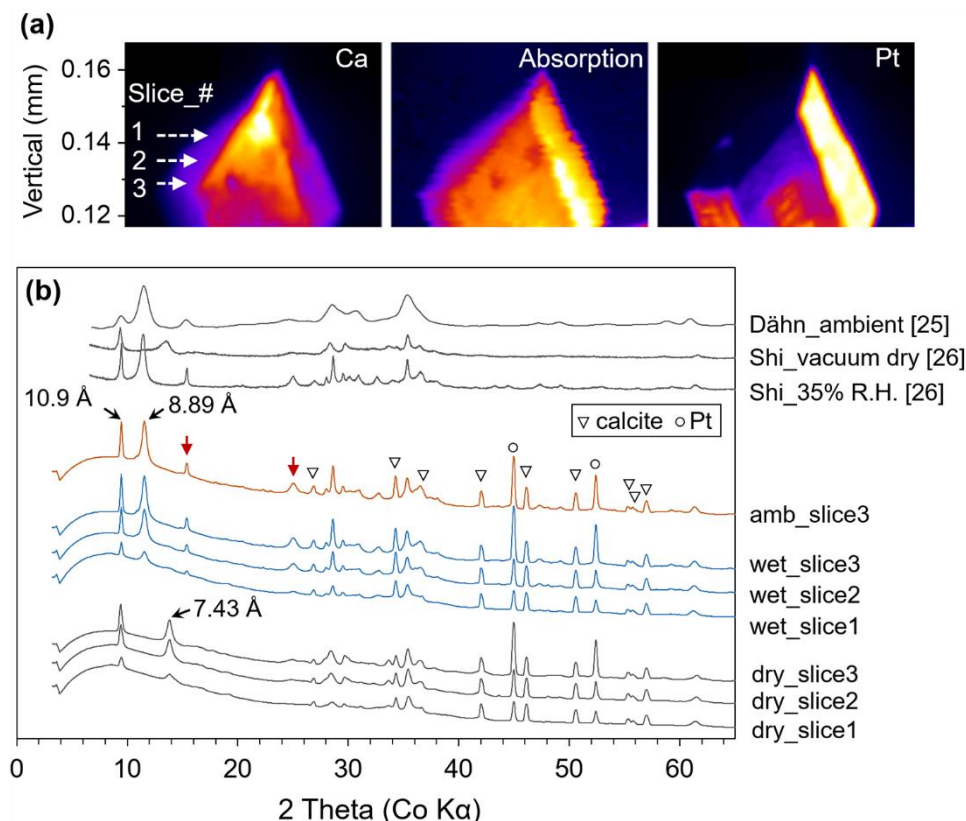
161 **3. Results and discussions**

162 **3.1 micro-XRD of the ASR products**

163 A projection of the sample is shown with Ca, absorption and Pt contrast (Figure 2a). In the Ca
164 contrast image, the regions containing relatively high and low Ca content correspond to the
165 calcite aggregate and the ASR product, respectively. The deposited Pt weld is clearly resolved
166 on the surface of the sample. To analyze the influence of R.H. change to the crystal structure
167 of the ASR product, three slices at different vertical positions were selected (Figure 2a). For
168 each slice, the XRD at all horizontal positions and all rotational angles were summed as the
169 overall XRD of this slice, denoted as *dry/wet/amb_slice1/2/3* as shown in Figure 2b. Only
170 slice3 was studied at *amb* condition due to limited synchrotron beamline time.

171 Under a certain R.H. condition, the diffraction patterns of ASR products were comparable
 172 among different slices (Figure 2b). The peak intensities of slice1 were weaker than those of
 173 slice2 and slice3, since there was less solid material in slice1. The XRD of each slice typically
 174 contained the contribution from the ASR products, and from calcite (aggregate) and Pt as
 175 labelled in Figure 2b. Although not displayed in Figure 2, this finding holds true for all the
 176 scanned slices under a certain R.H., demonstrating that the crystal composition is comparable
 177 among different slices.

178 Two basal peaks were observed at $d \sim 10.9 \text{ \AA}$ and 7.43 \AA when the sample is dry (R.H.=10%).
 179 Upon increasing R.H. to 97%, the 7.43 \AA basal peak vanished while a new basal peak appeared
 180 at $d \sim 8.89 \text{ \AA}$. The 10.9 \AA basal peak remained unchanged. Meanwhile, two new peaks appeared
 181 in the wet condition at 2θ of $\sim 15^\circ$ and $\sim 25^\circ$ (red arrows in Figure 2b). The peak at 29.5°
 182 were sharper and more intensive in the wet condition as compared with the dry condition. When
 183 R.H. subsequently dropped from 97% to 38% (*amb*), no noticeable change of the XRD was
 184 observed after 5 hours of equilibration and measurement.



185

186 Figure 2. XRD data of the ASR products under different R.H. (10% for dry, 97% for wet and
187 38% for ambient). (a) Projections of the sample in Ca, absorption and Pt contrast, with the
188 vertical positions of three selected slices labeled. (b) The XRD of the selected slices plotted
189 together with reported data [25,26]. Diffraction peaks not from ASR are labeled with triangle
190 (calcite) and circle (Pt). The 2theta angle of synchrotron beam was translated to the equivalent
191 Co K α value, according to the Bragg equation.

192

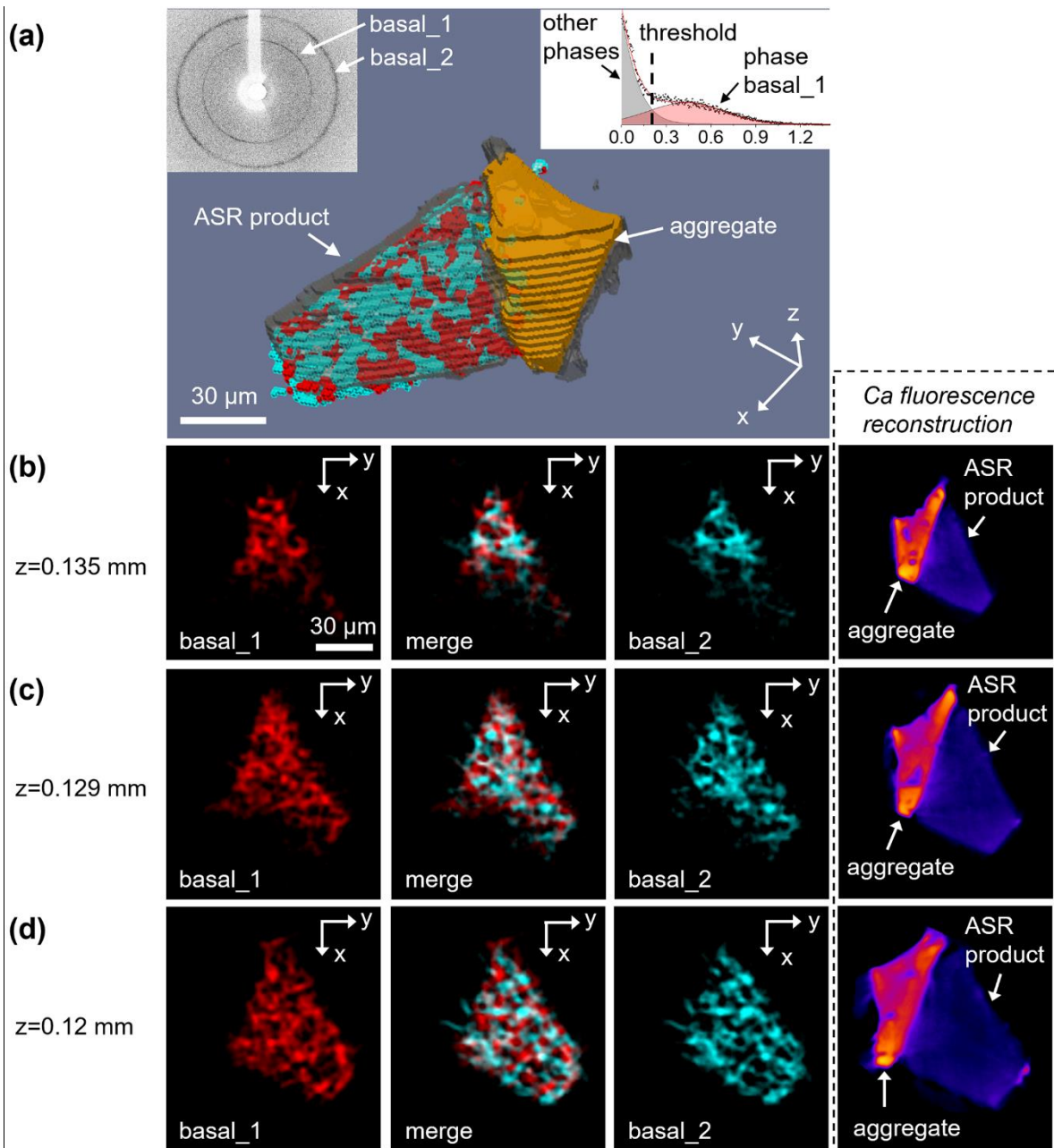
193 The basal spacing of the crystalline ASR product is found to be highly variable. In our previous
194 work, ASR products exhibited similar layer structure as shlykovite, but with various basal
195 peaks at $d \sim 8.6, 9.6, 10.6, 12.2$ and 13.4 \AA [24,25,31]. For samples from different field sources,
196 the dominant peak in all cases appears to be at $d \sim 12.2 \text{ \AA}$ [24]. For lab synthesized shlykovite-
197 type ASR samples at elevated temperature (e.g. $60 \text{ }^\circ\text{C}$ and $80 \text{ }^\circ\text{C}$), a basal spacing larger than
198 13 \AA was often observed [17,19]. When the synthesis temperature dropped to $40 \text{ }^\circ\text{C}$, a product
199 with basal peaks at 10.8 \AA and 8.9 \AA was reported [26]. These two basal spacings remained
200 unchanged when R.H. was reduced to 35% (Figure 2b Shi_35% R.H.), yet a clear change took
201 place when the sample was vacuum-dried for three days (Figure 2b Shi_vacuum dry) [26]. It
202 is clearly shown in Figure 2b that the XRD of the $40 \text{ }^\circ\text{C}$ -synthesized sample are highly
203 comparable to the results in this study. A previously reported micro-XRD data (Figure 2b
204 Dähn_ambient) also resembles the results in this study at *wet* and *amb* condition, while the
205 $\sim 8.9 \text{ \AA}$ peak is much more dominant in the reported work [25].

206 In the reported lab-synthesis work [26], SEM observation and spectroscopic data indicated that
207 the obtained ASR product seems to have a uniform morphology and chemical environment.
208 However, the variation in the intensity ratio between the 10.9 \AA and 8.89 \AA (7.43 \AA) peak and
209 their distinct response to R.H.-change indicate that they might come from two crystalline
210 phases with similar layer structure but different basal spacings.

211

212 **3.2 Tomographic reconstruction**

213 To further investigate the source of the two basal peaks, the azimuthally integrated intensity
214 was used to retrieve the spatial distribution of phases that produce them (hereafter denoted as
215 phase basal_1 and basal_2, respectively). With the scanning tomographic X-ray powder
216 diffraction technique, every voxel in the scanned volume should satisfy a powder diffraction
217 condition [40]. The ASR products are nano-platelets with thickness of tens-of-nm, which is
218 much smaller than the pixel size ($1\ \mu\text{m} \times 1\ \mu\text{m}$). As shown by a raw pattern example (inset in
219 Figure 3a), the diffraction rings of basal peak $10.9\ \text{\AA}$ (basal_1) and $7.43\ \text{\AA}$ (basal_2) are both
220 continuous rings with approximately homogeneous distribution of intensity at all azimuthal
221 angles, i.e. satisfying the powder diffraction condition. Meanwhile, this diffraction contrast
222 reconstruction cannot be applied to the aggregate since it exhibited a strongly spotty diffraction
223 from large single-crystal calcite grains. As such, the whole scanned volume was reconstructed
224 using the Ca fluorescence contrast, and segmented to ASR product and aggregate, i.e. the grey
225 and orange volume in Figure 3a (partially transparent for viewing convenience).



226
 227 Figure 3. Reconstructed spatial distribution of phases (in dry condition) that produce basal
 228 peaks 10.9 \AA (basal_1, red) and 7.43 \AA (basal_2, cyan). (a) Volume rendering of the ASR
 229 product (red and cyan) inside the whole scanned volume (reconstructed using Ca contrast). (b-
 230 d) Compared distribution of the phases basal_1 and basal_2 in three selected slices. A grey
 231 color in the merged image indicates the co-existence of both phases. The corresponding slices
 232 reconstructed with Ca $K\alpha$ fluorescence signal are also display for comparison. Images
 233 reconstructed from diffraction contrast are binary-segmented.

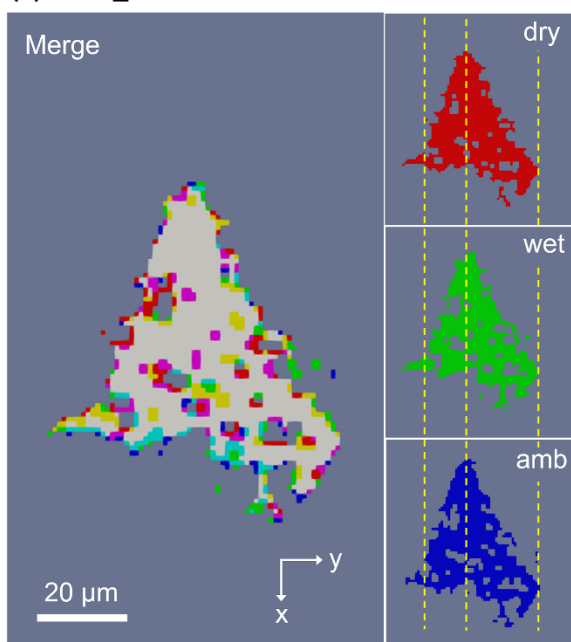
234

235 A threshold-segmentation was applied to the ASR phases reconstructed with their basal peak
 236 diffraction contrast, which is well differentiated from other phases. An example of the basal_1

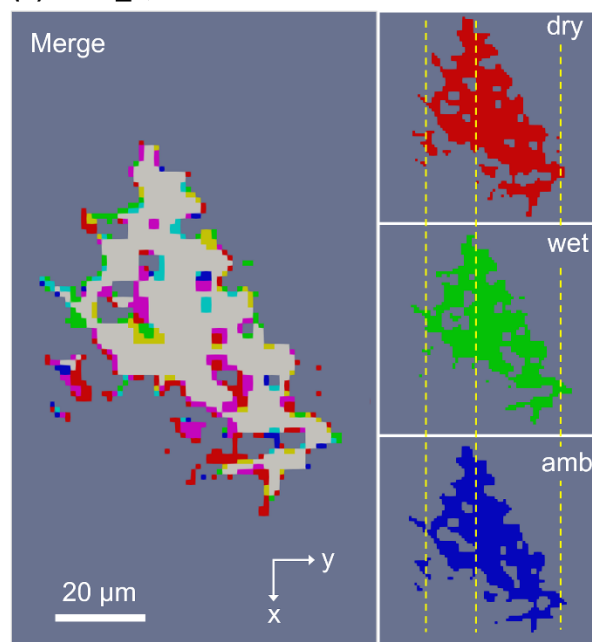
237 diffraction contrast histogram is shown by the inset in Figure 3a (right-hand-side). Inside the
238 scanned volume, the basal₁ phase (red) and basal₂ phase (cyan) are intermixed in a sub-
239 volume that is consistent with the location of the ASR product (Figure 1a). The distribution of
240 basal₁ and basal₂ phases are further illustrated in three selected slices, together with slices
241 reconstructed using Ca fluorescence signal (Figure 3b-d). The Ca contrast images demonstrate
242 two regions with distinct Ca content, corresponding to aggregate (left) and ASR product (right).
243 In each slice, both basal₁ and basal₂ phases spread within the region corresponding to the
244 ASR product. The distribution of each phase, however, is not homogeneous. There are regions
245 rich in a single phase, while between them are regions with no diffraction signal of this phase.
246 When merging the distribution of basal₁ and basal₂, the vacancies in the distribution of one
247 phase often host the other phase, though some regions seem to contain both phases (grey color
248 region in Figure 3b-d). This suggests the ASR products that produce the peak 10.9 Å (basal₁)
249 and peak 7.43 Å (basal₂) are not the same. At the micron-scale, they are distributed differently
250 inside an ASR product vein. Meanwhile, the distribution of Ca does not exhibit a similar
251 heterogeneity as the distribution of basal₁ and basal₂, indicating that the two phases share
252 similar content of Ca.

253 The diffraction-contrast reconstruction was applied also to the data in *wet* (97% R.H.) and *amb*
254 (38% R.H.) conditions. For comparison, the reconstructed images of a slice at the vertical
255 position of 0.130 ± 0.0005 mm are plotted together (Figure 4). The distribution of phase basal₁
256 in *dry*, *wet* and *amb* conditions are colored in red, green and blue, respectively (Figure 4a). A
257 slight change in the measured vertical position in different R.H. conditions results in a few
258 local variations in the distribution of basal₁. Apart from that, the overall size of the distributed
259 area of phase basal₁ remains vastly unchanged, as indicated by the yellow dashed lines in
260 Figure 4a.

261 (a) basal_1, vertical = 0.130 ± 0.0005 mm



(b) basal_2, vertical = 0.130 ± 0.0005 mm



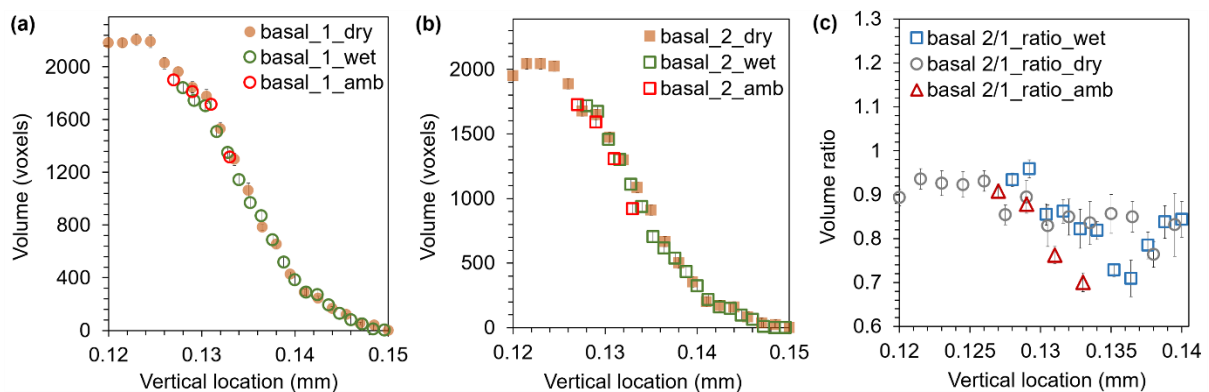
261

262 Figure 4. Comparison of the micro-distribution of (a) phase basal_1 and (b) basal_2 under
263 varying R.H. conditions. The yellow dashed lines are eye-guides of the size of the distributed
264 area. Red, green and blue are used for the *dry*, *wet* and *amb* conditions, respectively. In the
265 merged image, binary overlaps are represented by purple (red & blue), yellow (red & green)
266 and cyan (blue & green). The grey area indicates an overlap of three basic colors.

267

268 The distribution of basal_2 in the *wet* and *amb* conditions were reconstructed using the intensity
269 of the 8.89 Å peak, in comparison to the 7.43 Å peak in dry condition. As shown in Figure 4b,
270 the nearly identical distribution of basal_2 in all three R.H. conditions readily suggests that it
271 is the same phase that produces peak 7.43 Å when dry and peak 8.89 Å when wet. In other
272 words, the phase basal_2 has undergone an enlargement of basal spacing when R.H. increased
273 from 10% to >38%. This is the first time that a microscale ASR product has been observed to
274 exhibit crystal structural expansion in an in-situ wetting process. Yet surprisingly, the spatial
275 distribution of basal_2 exhibits nearly zero change during the wetting process, although the
276 basal peak shift corresponds to a ~20% volume increase of the crystal structure. Otherwise
277 stated, the crystal structural swelling of the ASR product basal_2 did not lead to a swelling of
278 the product vein at microscale (Figure 4b).

279 The threshold segmentation was applied to all the reconstructed slices in different R.H.
 280 conditions. The volume (number of voxels) of phase basal_1 and basal_2 were counted and
 281 plotted as a function of the vertical positions, as shown in Figure 5a and 5b. For all slices, there
 282 is no clear change in volume for both basal_1 and basal_2 phases in all studied R.H. conditions.
 283 Their volume ratio at each vertical position is also consistent throughout R.H. change (Figure
 284 5c). These data again suggest that the microscale volume of the ASR product remains
 285 unchanged during R.H. variation, despite the change of their crystal structure size.



286

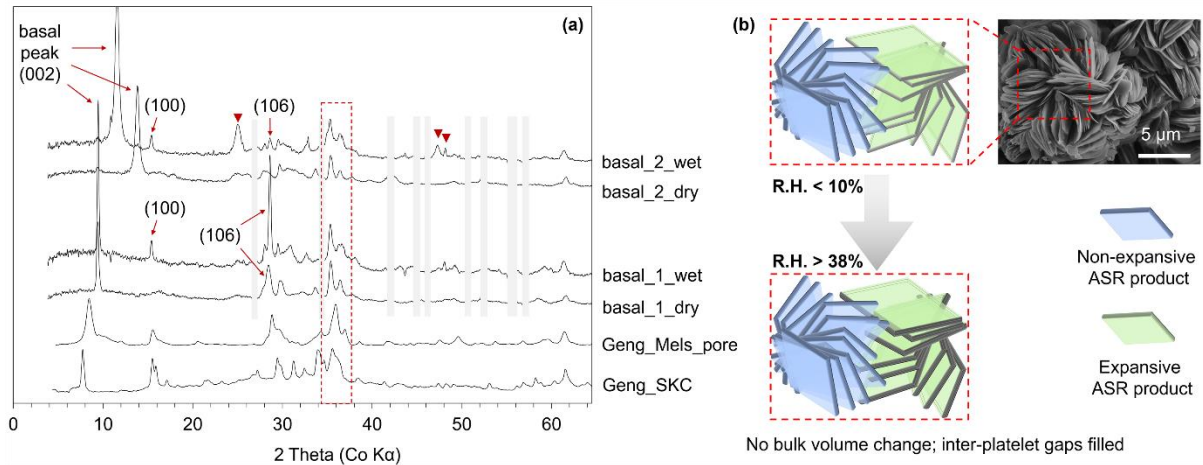
287 Figure 5. Reconstructed volume (number of voxels) of phase basal_1 (a), basal_2 (b) and their
 288 ratios as a function of the vertical position in various R.H. conditions. The uncertainty is
 289 indicated by the error bar, which is estimated by varying the segmentation threshold value by
 290 $\pm 5\%$. The volume ratio in (c) does not include slices with vertical location higher than 0.14
 291 mm, since the volume is too small and the error bar thus too large.

292

293 3.3 Implications on moisture uptake by ASR product

294 The reconstructed 3D XRD data enables the diffractogram to be extracted from voxels that
 295 contain only phase basal_1 or basal_2, as shown in Figure 6a. The strong orientations of Pt and
 296 calcite have resulted in significant reconstruction artifacts in the XRD patterns. Therefore the
 297 2theta ranges corresponding to calcite and Pt diffractions are masked in Figure 6a for viewing
 298 convenience. For phase basal_1, its diffractogram in wet and dry condition were mostly
 299 comparable. The 2theta positions of all diffraction peaks remained unchanged. Meanwhile, the
 300 (100) and (106) peaks were much sharper when basal_1 is wet, suggesting that the drying has

301 resulted in a certain degree of amorphousness. For phase basal_2, the basal peak drifted
 302 significantly when R.H. changes from 10% to above 38%. A loss of crystallinity was also
 303 observed when phase basal_2 is dry, as indicated by the broadening of several sharp peaks that
 304 were present in wet condition.



305
 306 Figure 6. (a) Decoupled XRD of phase basal_1 and basal_2 in dry and wet conditions,
 307 compared with published XRD of ASR-related phases [24]. The peaks corresponding to calcite
 308 and Pt peaks are masked by grey bars for viewing convenience. (b) An illustration of the micro-
 309 process of moisture uptake by the ASR product vein. The SEM image is adopted from [28].

310
 311 For both basal_1 and basal_2 phases, their XRD are comparable to the XRD of previously
 312 studied shlykovite-type products [24] in the 2theta, especially in the group of strong diffraction
 313 from 34° to 38° (red dashed square in Figure 6a). This suggests that the two phases identified
 314 here share similar layer structure as other shlykovite-type products, whereas the basal spacing
 315 of the layers are smaller here. The similarity in chemical environment is consistent with the
 316 spectroscopic study of similar products synthesized in the lab [26]. On the other hand, the
 317 thickness of each shlykovite-type layer is ~9 Å [24]. If phase basal_2 has the same layer
 318 structure, it will need to adopt an extremely tight stacking to obtain such a small basal spacing
 319 (8.89 and 7.43 Å). Particularly, in dry condition, the dendritic tips of the silicate layer will need
 320 to penetrate into the 8-unit silicate ring of the adjacent layer, to reach a basal spacing as small
 321 as 7.43 Å. Meanwhile, some diffraction peaks in basal_2_wet were not observed in other

322 shlykovite-type ASR products (red triangles in Figure 6a). Thus more study is needed to
323 provide insight into the crystal structure of the swelling phase basal₂.

324 So far a group of basal spacings, ranging from 7.4 Å to 13.4 Å, have been reported for the
325 crystalline ASR products from affected concrete. Among them, only the product with a ~8.9 Å
326 basal spacing (wet condition) was observed here to shrink to ~7.4 Å upon severe drying. The
327 basal spacings of the other products, ranging from ~10 Å to 13.5 Å, seem inert to the moisture
328 change. Lab-synthesis attempts indicated that their basal spacings are more determined by the
329 synthesis temperature [19,26]. A compression as high as 2 GPa was also proven to result in a
330 permanent basal spacing reduction of ~1 Å for ASR products with basal spacing around 12.2
331 Å from field concrete samples [31]. In a real ASR product vein, all these products may exist at
332 the same time, although they may have similar nano-platy morphology. It still remains largely
333 unclear what leads to such a variation in the stacking behavior in different ASR products.

334 The bulk moduli of several polymorphs of ASR products range from 27 to 76 GPa as reported
335 by our previous high-pressure XRD work [31], while the bulk modulus of the whole product
336 vein is at the scale of ~10 GPa according to indentation results [22,23,41]. This indicates a
337 porosity of 40% to 80% in the product vein, as roughly estimated by a Mori-Tanaka
338 homogenization scheme of randomly oriented thin platelets [42]. Assuming an equal volume
339 of phase basal₁ and basal₂, the volume ratio of basal₂ to the total product is roughly in the
340 range of 10% to 30%. Thus the 20% crystal structural expansion of phase basal₂ is
341 homogenized to a <6% volume change of the product vein, i.e. less than 2% increase on one
342 spatial dimension. This value is comparable to the accuracy of our experimental approach here
343 and therefore difficult to be established unambiguously in the present measurements.

344 Based on the above discussion, an illustration of the moisture-uptake in the studied ASR
345 product vein is given in Figure 6b. The vein contains the agglomeration of expansive (basal₂)
346 and non-expansive (basal₁) ASR nano-platelets. Starting from a dry state, the expansive

347 product (basal₂) may uptake water into the interlayer during moisture ingress, resulting in an
348 enlargement of basal spacing and the thickening of each platelet. However, this seems not to
349 cause any expansion of the bulk volume of the product vein. A straightforward explanation is
350 thus, the thickening of the nano-platelets only results in a shortening of the gaps between the
351 platelets.

352 Our results suggest that the source of micro-expansive stress is not from the swelling of the
353 crystalline ASR product during moisture ingress. The mechanism of ASR degradation thus
354 awaits alternative explanations. A recent study showed that the early form of ASR products in
355 nano-cracks of aggregate are often amorphous, in contrast to the nano-crystalline form when
356 macro-expansion is already obvious [43]. It remains to be studied whether the amorphous early
357 products may uptake water and generate swelling stress. It is also not investigated whether the
358 recrystallization of early products is accompanied by a crystallization pressure. In the latter
359 case, a pre-existing crack may open up due to localized crystal-growth before the whole
360 crack/void is filled with product. This phenomenon is indeed observed in a recent study [44].

361

362 **4. Conclusions**

363 In this work, a tomographic micro-XRD measurement was applied to a freshly extracted nano-
364 crystalline ASR product vein in varying R.H. conditions, i.e. 10% (dry), 97% (wet) and 38%
365 (ambient). The main conclusions are summarized as follows.

- 366 1) Two nano-crystalline phases with the same morphology were found intermixed inside
367 an ASR product vein from a damaged concrete aggregate. They exhibit distinct basal
368 spacings – one at 10.9 Å and the other at 7.43 Å when the sample was equilibrated in
369 R.H.=10%.
- 370 2) After the dry sample was exposed to R.H.=97% for one hour, the basal peak 7.43 Å
371 shifted to 8.89 Å, indicating a moisture-driven enlargement of basal spacing.

372 Meanwhile the 10.9 Å peak remained unchanged. Both phases have a higher degree of
373 crystallinity in wet condition than in dry condition. Both phases remained unchanged
374 when R.H. dropped from 97% to 38%.

375 3) Despite the crystal structural expansion of one ASR product phase by uptaking water,
376 the overall ASR product vein showed no sign of clear in-situ expansion at microscale
377 of the studied sample. Therefore, the moisture ingress into nano-crystalline product
378 veins may not explain the microscale origin of the expansive stress development in
379 ASR degradation. Similar experiments are needed for more ASR product from affected
380 concrete to justify the universality of this finding.

381

382 **CRedit authorship contribution statement**

383 G. Geng: Conceptualization, Methodology, Formal analysis, Investigation, Data curation,
384 Writing - original draft. S. Barbotin, M. Shakoorioskooie and A. Leeman: Conceptualization,
385 Validation, Resources, Writing - review & editing. Z. Shi: Formal analysis, Writing - review
386 & editing. D.F. Sanchez and D. Grolimund: Methodology, Investigation, Data curation,
387 Writing - review & editing. E. Wieland and R. Dähn: Conceptualization, Methodology, Writing
388 - review & editing, Supervision, Project administration.

389

390 **Declaration of competing interest**

391 The authors declare that they have no known competing financial interests or personal
392 relationships that could have appeared to influence the work reported in this paper.

393

394 **Acknowledgement**

395 This project was funded by the Swiss National Science Foundation (SNF) Sinergia project
396 Alkali-silica reaction in concrete (ASR), grant number CRSII5_17108. Paul Scherrer Institut,

397 Villigen (Switzerland) was acknowledged for provision of synchrotron radiation beamtime at
398 beamline microXAS of the SLS and the Interdisciplinary Center for Electron Microscopy
399 (CIME, EPFL) for their support in the sample preparation.

400

401 **References**

- 402 1. P.K. Mehta, P.J.M. Monteiro, *Concrete: Microstructure, Properties, and Materials*, 4th ed.,
403 McGraw-Hill Companies, New York City, 2014.
- 404 2. W.F. Cole, C.J. Lancucki, M.J. Sandy, Products formed in an aged concrete, *Cem. Concr.*
405 *Res.* 11 (1981) 443-454.
- 406 3. T.E. Stanton, Influence of cement and aggregate on concrete expansion, *Eng. News Record*
407 1 (1940) 59-61.
- 408 4. B. Fournier, M.A. Bérubé, Alkali-aggregate reaction in concrete: a review of basic concepts
409 and engineering implications, *Can. J. Civil Eng.* 27 (2000) 167-191.
- 410 5. ASTM 2002 C 294-98. Standard descriptive nomenclature for constituents of natural
411 mineral aggregates. American Society for Testing and Materials, West Conshohocken, PA,
412 2002.
- 413 6. EN 2010 EN 932-3. Tests for general properties of aggregates—Part 3: Procedure and
414 terminology for simplified petrographic description. European Committee for
415 Standardization (CEN), Brussels, 2010.
- 416 7. ASTM C1293. Standard test method for determination of length change of concrete due to
417 alkali-silica reaction. American Society for Testing and Materials, West Conshohocken, PA,
418 2008.
- 419 8. A.E. Eskridge, J.T. Klahorst, R.E. Klingner, M.E. Kreger, Mitigation techniques for
420 structures with premature concrete deterioration due to ASR/DEF, *ACI Mater. J.* 106 (2009)
421 273.

- 422 9. J.H. Ideker, B.L. East, K.J. Folliard, M.D. Thomas, B. Fournier, The current state of the
423 accelerated concrete prism test, *Cem. Concr. Res.* 40 (2010) 550-555.
- 424 10. T. Oey, E.C. La Plante, G. Falzone, Y.H. Hsiao, A. Wada, L. Monfardini, M. Bauchy, J.W.
425 Bullard, G. Sant, Calcium nitrate: a chemical admixture to inhibit aggregate dissolution
426 and mitigate expansion caused by alkali-silica reaction, *Cem. Concr. Compos.* (2020)
427 103592.
- 428 11. M. Alnaggar, M. Liu, J. Qu, G. Cusatis, Lattice discrete particle modeling of acoustic
429 nonlinearity change in accelerated alkali silica reaction (ASR) tests, *Mater. Struct.* 49
430 (2016) 3523-3545.
- 431 12. Y. Kawabata, J.F. Seignol, R.P. Martin, F. Toutlemonde, Macroscopic chemo-mechanical
432 modeling of alkali-silica reaction of concrete under stresses, *Constr. Build. Mater.* 137
433 (2017) 234-245.
- 434 13. M. Rashidi, A. Paul, C. Do, K.E. Kurtis, The role of composition in the structure and water-
435 binding in alkali-silica reaction sol and gel, *Cem. Concr. Res.* 124 (2019) 105814.
- 436 14. A. Gholizadeh-Vayghan, F. Rajabipour, The influence of alkali-silica reaction (ASR) gel
437 composition on its hydrophilic properties and free swelling in contact with water
438 vapor, *Cem. Concr. Res.* 94 (2017) 49-58.
- 439 15. J.H.M. Visser, Fundamentals of alkali-silica gel formation and swelling: Condensation
440 under influence of dissolved salts, *Cem. Concr. Res.* 105 (2018) 18-30.
- 441 16. A. Leemann, Raman microscopy of alkali-silica reaction (ASR) products formed in
442 concrete, *Cem. Concr. Res.* 102 (2017) 41-47.
- 443 17. Z. Shi, B. Lothenbach, The combined effect of potassium, sodium and calcium on the
444 formation of alkali-silica reaction products, *Cem. Concr. Res.* 127 (2020) 105914.
- 445 18. H.F. Taylor, *Cement Chemistry* (Vol. 2). London: Thomas Telford, 1997.

- 446 19. Z. Shi, G. Geng, A. Leemann, B. Lothenbach, Synthesis, characterization, and water
447 uptake property of alkali-silica reaction products, *Cem. Concr. Res.* 121 (2019) 58-71.
- 448 20. L. De Ceukelaire, The determination of the most common crystalline alkali-silica reaction
449 product, *Mater. Struct.* 24 (1991) 169-171.
- 450 21. K. Peterson, D. Gress, T. Van Dam, L. Sutter, Crystallized alkali-silica gel in concrete
451 from the late 1890s, *Cem. Concr. Res.* 36 (2006) 1523-1532.
- 452 22. A. Leemann, P. Lura, E-modulus of the alkali-silica-reaction product determined by
453 micro-indentation, *Constr. Build. Mater.* 44 (2013) 221-227.
- 454 23. C. Hu, B.P. Gautam, D.K. Panesar, Nano-mechanical properties of alkali-silica reaction
455 (ASR) products in concrete measured by nano-indentation, *Constr. Build. Mater.* 158
456 (2018) 75-83.
- 457 24. G. Geng, Z. Shi, A. Leemann, C. Borca, T. Huthwelker, K. Glazyrin, I.V. Pekov, S.
458 Churakov, B. Lothenbach, R. Dähn, E. Wieland, Atomistic structure of alkali-silica
459 reaction products refined from X-ray diffraction and micro X-ray absorption data, *Cem.*
460 *Concr. Res.* 129 (2020) 105958.
- 461 25. R. Dähn, A. Arakcheeva, P. Schaub, P. Pattison, G. Chapuis, D. Grolimund, E. Wieland,
462 A. Leemann, Application of micro X-ray diffraction to investigate the reaction products
463 formed by the alkali-silica reaction in concrete structures, *Cem. Concr. Res.* 79 (2016) 49-
464 56.
- 465 26. Z. Shi, A. Leemann, D. Rentsch, B. Lothenbach, Synthesis of alkali-silica reaction product
466 structurally identical to that formed in field concrete, *Mater. Design*, 190 (2020) 108562.
- 467 27. T. Katayama, Late-expansive ASR in a 30-year old PC structure in eastern Japan,
468 Proceeding of the 14th International Conference on Alkali-Aggregate Reaction (ICAAR),
469 Austin, USA, 2012.

- 470 28. A. Leemann, Z. Shi, M. Wyrzykowski, F. Winnefeld, Moisture stability of crystalline
471 alkali-silica reaction products formed in concrete exposed to natural environment, *Mater.*
472 *Des.* 195 (2020) 109066.
- 473 29. Z. Shi, S. Park, B. Lothenbach, A. Leemann, Formation of shlykovite and ASR-P1 in
474 concrete under accelerated alkali-silica reaction at 60 and 80 °C, *Cem. Concr. Res.* 137
475 (2020) 106213.
- 476 30. A. Leemann, Z. Shi, J. Lindgård, Characterization of amorphous and crystalline ASR
477 products formed in concrete aggregates, *Cem. Concr. Res.* 137 (2020) 106190.
- 478 31. G. Geng, Z. Shi, A. Leemann, K. Glazyrin, A. Kleppe, D. Daisenberger, S. Churakov, B.
479 Lothenbach, E. Wieland, R. Dähn, Mechanical behavior and phase change of alkali-silica-
480 reaction products under hydrostatic compression, *Acta Cryst. B*, 76 (2020).
- 481 32. J. Lindgård, Ph. J. Nixon, I. Borchers, B. Schouenborg, B.J. Wigum, M. Haugen, U.
482 Åkesson, The EU "PARTNER" project - European standard tests to prevent alkali
483 reactions in aggregates: final results and recommendations, *Cem. Concr. Res.* 40 (2010)
484 611-635
- 485 33. C. N. Borca, D. Grolimund, M. Willimann, B. Meyer, K. Jefimovs, J. Vila-Comamala, C.
486 David, The microXAS beamline at the Swiss Light source: towards nano-scale imaging, *J.*
487 *Physics: Conference Series*, 186 (2009) 012003.
- 488 34. W. van Aarle, W.J. Palenstijn, J. Cant, E. Janssens, F. Bleichrodt, A. Dabravolski, J. De
489 Beenhouwer, K. J. Batenburg, J. Sijbers, Fast and flexible x-ray tomography using the ASTRA
490 Toolbox, *Opt. Express*, 24 (2016) 25129-25147.
- 491 35. W.J. Palenstijn, K.J. Batenburg, J. Sijbers, Performance improvements for iterative electron
492 tomography reconstruction using graphics processing units (GPUs), *J. Struct. Biol.* 176 (2011)
493 250-253.

- 494 36. D.F. Sanchez, D. Grolimund, M. Hubert, P. Bleuet, J. Laurencin, A 2D and 3D X-ray μ -
495 diffraction and μ -fluorescence study of a mixed ionic electronic conductor, *Int. J. Hydrog.*
496 *Energy*, 42 (2017) 1203-1211.
- 497 37. G. Ashiotis, A. Deschildre, Z. Nawaz, J.P. Wright, D. Karkoulis, F.E. Picca, J. Kieffer, The
498 fast azimuthal integration Python library: pyFAI, *J. Applied Crystal*. 48 (2015) 510-519.
- 499 38. W.S. Rasband, ImageJ, U. S. National Institutes of Health, Bethesda, Maryland, USA,
500 <https://imagej.nih.gov/ij/>, 1997-2018.
- 501 39. A. Henderson, ParaView Guide, A Parallel Visualization Application. Kitware Inc., 2007.
- 502 40. P. Bleuet, E. Welcomme, E. Dooryhée, J. Susini, J.L. Hodeau, P. Walter, Probing the structure
503 of heterogeneous diluted materials by diffraction tomography, *Nat. Mater.* 7 (2008) 468-472.
- 504 41. C. Zhang, L. Sorelli, B. Fournier, J. Duchesne, J. Bastien, Z. Chen, Stress-relaxation of
505 crystalline alkali-silica reaction products: Characterization by micro-and nanoindentation and
506 simplified modeling, *Construct. Build. Mater.* 148 (2017) 455-464.
- 507 42. J.J. Timothy, G. Meschke, A cascade continuum micromechanics model for the effective
508 elastic properties of porous materials, *Int. J. Solid. Struct.* 83 (2016) 1-12.
- 509 43. E. Boehm-Courjault, S. Barbotin, A. Leemann, K. Scrivener, Microstructure, crystallinity and
510 composition of alkali-silica reaction products in concrete determined by transmission electron
511 microscopy, *Cem. Concr. Res.* 130 (2020) 105988.
- 512 44. A. Leemann, B. Münch, The addition of caesium to concrete with alkali-silica reaction:
513 Implications on product identification and recognition of the reaction sequence, *Cem. Concr.*
514 *Res.* 120 (2019) 27-35.